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09/736304

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. T2153-906758

First Inventor or Application Identifier Marc HERRMANN et al

Title Procédé et dispositif de supervision évolutive

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 35]  
(preferred arrangement set forth below)
  - Descriptive title of the invention in French language
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]  
Formal
- Oath or Declaration [Total Pages]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - ☐ Computer Readable Copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ \* Small Entity Statement(s) filed in prior application.  
(PTO/SB/09-12) Status still proper and desired
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☒ Other: Correspondence Address and Notice of Filing Without Declaration

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: FOREIGN APPLICATION
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /
- Prior application information: Examiner Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Country	U.S.	Telephone	703/903-9000	Fax	703/610-8686

Name (Print/Type)	Edward J. Kondracki	Registration No. (Attorney/Agent)	20,604
Signature	<i>Edward J. Kondracki</i>	Date	Dec. 15, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Marc HERRMANN & Xiaobo LI

Serial No.: To be assigned

Filed: December 15, 2000

For: Procédé et dispositif de supervision  
évolutive

:  
: Examiner:  
:  
: Group Art Unit:  
:  
: Corres. To FR 99/15894  
: Filed December 16, 1999

McLean, Virginia

**CLAIM FOR BENEFIT OF FILING DATE  
OF PRIOR FOREIGN APPLICATION**

Honorable Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:.

In the matter of the above-identified application, a claim is hereby made under the provisions of 35 U.S.C. §119 for the benefit of the filing date of the corresponding French application No. 99 15894 filed December 16, 1999, which is referred to in the Declaration of the present case.

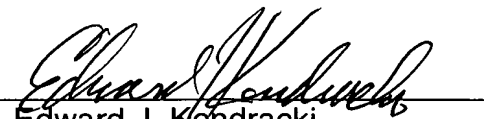
A certified copy of said French application will be forwarded as soon as it is available.

Respectfully submitted,

Miles & Stockbridge P.C.

Date December 15, 2000

By:

  
Edward J. Kondracki  
Registration No. 20,604

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Marc HERRMANN & Xiaobo LI

Serial No.: To be assigned

Filed: December 15, 2000

For: Procédé et dispositif de supervision  
évolutive

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: Examiner:  
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: Group Art Unit:  
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: Corres. To FR 99/15894  
: Filed December 16, 1999

McLean, Virginia

## **CORRESPONDENCE ADDRESS AND NOTICE OF FILING WITHOUT DECLARATION**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The attached application is being filed on behalf of the inventors,  
Marc HERRMANN and Xiaobo LI, without an executed Declaration under the  
provisions of 37 CFR § 1.53(d).

A duly executed Declaration and Power of Attorney will be filed  
in due course after appropriate notification by the U.S. Patent and Trademark  
Office.

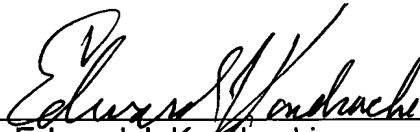
Please address all correspondence to the undersigned attorney.

Respectfully submitted,

Miles & Stockbridge P.C.

Date December 15, 2000

By:

  
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